



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----ooo:0:ooo-----

Application of :
Yasuyuki MITSUOKA et al. :
Serial No. 09/837,836 : Group Art Unit: 2877
Filed: April 18, 2001 :
For: OPTICAL WAVEGUIDE PROBE :
AND MANUFACTURING METHOD :
OF THE SAME, AND :
SCANNING NEAR-FIELD :
OPTICAL MICROSCOPE : Docket No. S004-4282

-----ooo:0:ooo-----

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Attn: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

S I R:

On June 13, 2001, the PTO issued a Notice To File Missing Parts Of Application ("Notice") advising that the application was filed without a declaration and that the application is informal because the drawing sheets do not have the appropriate margins of at least 2.5 cm. (1 inch). The Notice provided a two-month period in which to submit the declaration and surcharge for late filing thereof and to submit the substitute drawings.

MAILING CERTIFICATE ON
LAST PAGE

In response to the PTO Notice, a duly signed declaration is submitted herewith together with a check in the sum of \$130.00 to cover the required surcharge for the late filing of the declaration. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency to Deposit Account No. 01-0268. Applicants also submit herewith nine sheets of substitute formal drawings containing thereon Figs. 1A-11. It is respectfully requested that the enclosed formal drawings be entered in the PTO application file in lieu of the original drawings filed with the application. Also submitted is a copy of the PTO Notice.

It is respectfully requested that the processing of the application be completed, and that the application be assigned to an Examiner for examination.

Respectfully submitted,

ADAMS & WILKS
Attorneys for Applicants

50 Broadway
31st Floor
New York, NY 10004
(212) 809-3700

By:

Bruce L. Adams
Bruce L. Adams

Reg. No. 25,386
MAILING CERTIFICATE

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first-class mail in an
envelope addressed to: Commissioner of
Patents & Trademarks, Washington, D.C.
20231, on the date indicated below.

-2-

Bruce L. Adams

Attorney Name

Bruce L. Adams
Signature

June 23, 2001

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,836	04/18/2001	Yasuyuki Mitsuoka	S004-4282

CONFIRMATION NO. 9678

FORMALITIES LETTER



OC000000006176958

ADAMS & WILKS
31st Floor
50 Broadway
New York, NY 10004

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE